



APEX CLEARING FDIC-INSURED DEPOSIT SWEEP PROGRAM PARTICIPATING BANK LIST

Participating Banks as of March 13, 2023	
BNY Mellon, N.A. (as Intermediary Bank)	Lake Forest Bank & Trust Company, N.A.
Ally Bank	Libertyville Bank & Trust Company, N.A.
Associated Bank, N.A.	Schaumburg Bank & Trust Company, N.A.
BankUnited, N.A.	SoFi Bank, N.A.
Belmont Bank & Trust Company	St. Charles Bank & Trust Company, N.A.
BMO Harris Bank, N.A.	State Bank of the Lakes
Burling Bank	TIAA, FSB
Crystal Lake Bank & Trust Company, N.A.	Town Bank, N.A.
Customers Bank	Tristate Capital Bank
East West Bank	Village Bank & Trust
Independent Bank	Wintrust Bank

The Participating Bank List (PBL) is a list of banks into which your funds may be deposited and is available from your broker and at apexclearing.com/disclosures. Please review the PBL carefully and refer to the Apex FDIC-Insured Sweep Program Terms & Conditions for complete details about the Program. Your cash may be allocated to any bank on the list at any point in time. You may not designate which banks on the PBL receive your funds. However, you may exclude any Participating Bank from holding your funds at any time by contacting your broker. This will result in your funds not being deposited into this bank. If your funds are already allocated to that bank, we will remove your funds from that bank and exclude that bank from receiving future deposits. If you already have funds at any bank on the PBL, please notify your broker to designate that bank to be excluded (as detailed above) so that no additional funds are allocated through this program to that bank, which should help prevent deposits in that bank from exceeding your FDIC- defined ownership category insurance limits. You are responsible for monitoring the total amount of deposits that you have at a bank to determine the amount of FDIC insurance you may have available to you. Banks may be added or removed from the PBL. When changes are made, we will, to the extent possible, update the PBL located on apexclearing.com/disclosures 30 days prior to depositing funds to such bank. However, under certain limited circumstances, such prior notification may not be possible.